



COMPLIANCE INSTITUTE

SOUTHERN AFRICA

Generally Accepted Compliance Practice Framework (GACP) Training outline

Compliance best-practice is key. How are you applying this in your organization?

This training course has been developed to provide an overview of the Generally Accepted Compliance Practice Framework © (GACP) as a roadmap to implementing compliance best-practice. You will gain an understanding of the structure and key components of the GACP and how to refer to it.

In this training session, the facilitator will delve into some core aspects of the GACP to familiarise you with how to use the GACP framework effectively through facilitated discussions, **practical application exercises** and sharing of experiences.

Who should attend:

- Compliance officers – those responsible for compliance in their organisation.
- Compliance administrators – those individuals in a compliance-related role.
- Novices to compliance management – those who are starting their careers in compliance.
- Risk Managers.
- Company Secretaries.
- Those in a governance-related role.
- Students – studying or exploring a career in a compliance-related role.
- Those individuals who have registered to write the board exam to obtain their CPrac (SA) designation.
- Students of the Compliance Officer Qualification who are enrolling to write the External Integrated Summative Assessment exam (EISA).

You will learn how to:

- Make use of the GACP as a source of compliance best practice.
- Identify the key components and structure of an effective compliance management system.
- Understand the GACP principles and selected key standards, requirements and guidelines in applying the principles in respect of the following key compliance

management system components to:

- Design, implement and maintain a compliance management system to achieve sustainable compliance outcomes in the economic, societal and environmental contexts.
- Develop a compliance management system that is appropriate to the organisation's context and recognises, considers and prioritises the the legitimate and reasonable requirements, interests, expectations and concerns of relevant internal and external compliance stakeholders.
- Establishing appropriate governance structures and practices in accordance with leading governance practice for effective compliance oversight.
- Establish and maintain a suitable compliance policy and framework that provides the structure on which the compliance management system is built.
- Define the compliance roles and responsibilities of various role-players and ensure that these are implemented in a way that facilitates effective compliance management.
- Define and promote an ethical compliance culture.
- Establish and maintain an independent compliance function with the appropriate status in the organisation.
- Adopt a compliance risk-based approach using clearly defined materiality levels to manage compliance risks in accordance with approved compliance risk appetite and tolerance levels.
- Use structured and systematic compliance planning in the implementation of the compliance approach, carrying out of compliance activities and meeting of compliance obligations.
- Designate a suitable head of compliance and ensure adequate and appropriate compliance resources.
- Develop a suitable compliance methodology and ensure the effective execution of the compliance process to identify, assess, manage, monitor and report on compliance risks and fulfil other compliance management activities.
- Enable effective compliance communication and reporting in respect of the compliance management system and the management of compliance risks.
- Establish a compliance quality assessment and improvement programme that will provide a mechanism to understand and improve the achievement of sustainable compliance outcomes and improve conformance with GACP principles and standards.

We recommend that you have the following for this session:

- Have a look through the GACP to orientate yourself of the content.

What is my investment:

3 days (4 hours per day)

Virtual

Member rate: R 4,200 excl. VAT / R4,830 incl. VAT.

Non-member rate: R4,620 excl. VAT / R5,313 incl. VAT.

Non-SA options for above excludes VAT.

In-person

Member rate: R5,200 excl. VAT / R5,980 incl. VAT.

Non-member rate: R5,620 excl. VAT / R6,463 incl. VAT.

Non-SA options for above excludes VAT.

Included in the training:

- Slide deck.
- Access to the 2024 GACP platform for 3 months. (members in good standing have full access).

Please note:

CISA will make every effort to host the specified training on the date / s as advertised. However, should unforeseen circumstances arise or an extenuating circumstance that is out of our control, we reserve the right to cancel or postpone the training. In event of CISA cancelling and or postponing the session, communication will follow in writing for next steps.

What is your next?

What courses to do once you have completed the GACP training.

Courses are listed in the recommended sequence:

- Introduction to compliance or Introduction to Public Sector compliance management (if you are in the Public Sector).
- Compliance risk management plans (CRMP).
- Control identification and risk assessment.
- Monitoring.
- For more training options, visit our website www.compliancesa.com .

Your presenter:



Daryl Glass

Daryl holds a CProf (SA) designation and has a BCompt, MBA and Post-graduate diploma in Compliance Management.

Daryl worked at the Liberty Group between 1992 and 2007 and served in various capacities including as Head of Forensic Services and Head of Group Compliance and Group Compliance Officer for all entities within the Group.

Since 2007, Daryl has run a business consultancy practice whose main focus is on Governance, Risk, Compliance and Forensic services. He has provided services to a broad range of South African and international clients within both the private and public sectors across various industries. Daryl's focus is to equip and enable organisations, their governing bodies and management to efficiently and effectively manage all forms of risk and meet relevant leading practice requirements. He led the development of the Public Sector Compliance Framework as a consultant to National Treasury.

Daryl participates extensively in the development and delivery of the core compliance training programmes of the Compliance Institute Southern Africa and also previously served as a Director of the Institute between 1999 and 2006. Daryl has and does serve in various capacities on or as an advisor to the boards of several other organisations.