



# COMPLIANCE INSTITUTE

SOUTHERN AFRICA

## ENHANCING YOUR CURRENT COMBINED ASSURANCE PROCESS (workshop) Training outline

Cost per person Incl. vat	CPD Points and Accreditation	Accreditations/Partnerships /Memberships	Method of Delivery / Format	Duration
Refer to the latest advertised events for current rates or as quoted	6 hours	n/a	Virtual via Microsoft Teams	1 day

### Course Objectives

Combined assurance is a co-ordinated approach that ensures all assurance activities provided by management, internal assurance providers, and external assurance providers adequately address significant risks facing an organisation, and suitable controls exist to mitigate these inherent risks to an acceptable level of residual risk.

### Prerequisites and study material

It is essential for the intended attendee to have knowledge of the Compliance management process, standards and principles, as defined in the Generally Accepted Compliance Practice (GACP).

The Generally Accepted Compliance Practice framework (GACP) – members receive this as part of their membership or it may be purchased by non-members from [accounts@compliancesa.com](mailto:accounts@compliancesa.com)

Attendees should have an understanding of Risk Management and Assurance concepts and have been involved in the implementation / exposure of Combined Assurance within the organisation in order to follow the terminology and methodology references.

Each attendee willing to share their own experiences from their respective organisations.

### Course delivery methodology

- Webinar.
- Workshop Format The workshop will be conducted in the following format:
  - o Problem Statement of each concern- Performed by Facilitator.
  - o High Level theoretical discussion - Performed by Facilitator.
  - o Workshop discussion based on experience within organisation – Performed by attendees.
  - o Conclusion based on discussions – Performed by Facilitator.

### Detailed agenda

**Time: 9:00 to 15:00**

**Workshop on the following aspects:**

1. Which Governance structures are involved in the combined assurance process?
2. Who is driving / facilitating Combined Assurance in the organisation?
3. What is making a Combined Assurance Forum / Committee successful?
4. How are the External Auditors involved in the Combined Assurance Process?
5. Who is conducting the reliance assessment of Assurance Providers within an organisation?
6. How is non-reliance on the work of an assurance provider addressed within an organisation?
7. How is the Combined Assurance plan and mapping conducted within the organisation?
8. How is the execution of Combined Assurance plan tracked within the organisation?
9. How important is it to standardise the various internal assurance providers methodologies within an organisation?
10. Should all individual assurance providers reports issued be discussed and presented at the Combined Assurance Forum / Committee?
11. How often should a Combined Assurance report be presented to the Board and Audit / Risk Committee?
12. How are the Combined Assurance Actions, identified by various assurance providers, tracked within the organisation?
13. What is the final deliverable of Combined Assurance within and organisation?
14. How do you measure success of combined assurance?
15. Additional Topics raised by attendees.

#### Who should attend?

- All Assurance Providers.
- Management (Governance related positions).
- Compliance Officers.
- Internal Auditors.
- Risk Managers.
- Company Secretaries etc.

#### Bio of presenter:



#### **Gert van Wyk**

Gert van Wyk has a wealth of experience in the Governance, Risk and Compliance disciplines.

He has the following qualifications and designations: M Com, FIIASA, CIA, PIA, CRMA, CA (SA), CPrac (SA), CProf (SA).

Gert spent five years at KPMG before joining the Absa Group, where he held senior positions including Group Compliance Officer, Head of Operational Risk and Chief

Internal Auditor.

He was previously the Chair of the Audit, Risk and Governance Committee of the Compliance Institute Southern Africa and the Chair of the Risk Committee of the South African Department of Home Affairs.

He is a member of the South African Institute of Chartered Accountants, the Compliance Institute Southern Africa and the Institute of Internal Auditors.

He serves on the Examination Committee of the Institute of Internal Auditors and is a moderator of the Compliance Institute Southern Africa's CPrac (SA) Board Exam.

During the past number of years, he has conducted more than 80 External Quality Assurance reviews on behalf of the Institute of Internal Auditors.