



COMPLIANCE INSTITUTE

SOUTHERN AFRICA

Course Outline

Compliance risk management plans

At a glance:

Cost per person Incl. vat	CPD Points and Accreditation	Accreditations/Partnerships /Memberships	Method of Delivery / Format	Duration
Refer to the latest advertised events for current rates	12 hours	n/a	Virtual on Microsoft Teams	3 days – 4 hrs per day

What is covered:

Compliance Risk Management Plans (CRMP's) forms the basis of any compliance risk management program. By using CRMP's you improve the effectiveness of your compliance risk management process. The course focuses on the practical application of the CRMP process, and covers principles, methods, tools and options.

Outcomes / the content will cover the following:

- Effective compliance risk management process
- Key Principles and Considerations in Respect of Compliance Materiality Levels
- Develop and maintain a compliance universe
- Develop and maintain compliance risk identification, risk assessment and risk management
- Review, maintain and to use compliance risk management plans

Who should attend:

- Corporate clients
- Compliance officers
- Compliance administrators
- Novices to compliance management

Format of the course

- The training is held in a classroom style, either virtually or face-to-face.
- Theoretical training.
- Case studies form part of the learning process.