COMPLIANCE INSTITUTE
SOUTHERN AFRICA

INTRODUCTION TO COMPLIANCE
Training outline 2025

Cost per person Incl. vat	CPD Points and Accreditation	Accreditations/Partnerships /Memberships	Method ofDelivery / Format	Duration
Refer to the latest advertised rates or as quoted	12 hours	n/a	In-person & Virtual via MicrosoftTeams	3 sequential days – 4 hours per day

Course Objectives

To provide an overview and a basic functional understanding of a variety of key compliance best practices, principles and activities of:

- The Generally Accepted Compliance Practice © (GACP) Framework, King IV and ISO standards, only considered at high level and referred to where necessary. Their topics are too wide ranging and extensive to cover in detail in this course.
- Delegates should familiarise themselves with the content of these documents.

Prerequisites:

We highly recommend that attendees understand the GACP.

What is covered:

1. Standards for compliance best practice

- A brief history of compliance in South Africa
- Key standards for compliance practice – GACP, King IV, ISO Standards and relevant legislation
- Identification of key compliance role-players

2. Compliance roles and responsibilities

- Roles and responsibilities of key compliance role-players
- An overview of the role of the compliance function

3. The structure of the compliance function

- The need for independence
- Conflicts of interest
- Compliance resourcing

- Review of the compliance function

4. An overview of some of the most important compliance documents

5. Compliance culture

6. An overview of the regulatory environment

- The regulatory environment
- The role of the regulators
- Interaction with regulators

7. Compliance risk management process overview

- Compliance risk identification
- Compliance risk assessment
- Compliance risk management
- Compliance risk monitoring

8. Other compliance activities

- Compliance reporting
- Compliance training

Who should attend:
- Corporate clients.
- Compliance officers.
- Compliance administrators.
- Compliance monitoring specialists.
- Internal auditors.
- Novices to compliance management.

What is included:
- Slide deck.
- Additional resources tba before the event and / or in the event.

What is my investment:
3 days (4 hours per day)

For ticket rates – refer to the tickets on the event.
For inhouse training enquire at enquiries@compliancesa.com or complete this inhouse enquiry form

NOTE:

1. CISA will make every effort to host the specified training on the date / s as advertised. However, should unforeseen circumstances arise or an extenuating circumstance that is out of our control, we reserve the right to cancel or postpone the training. In event of CISA cancelling and or postponing the session, communication will follow in writing for next steps.

2. No AI readers are permitted.

What is your next?

What courses to do once you have completed the GACP training.

Courses are listed in the recommended sequence:
- Introduction to compliance or Introduction to Public Sector compliance management (if you are in the Public Sector).
- Control identification and risk assessment.
- Compliance risk management plans (CRMP).
- Monitoring.